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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew M. Weiner

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Serial No.: 09/865,028

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Title

: METHODS AND SYSTEMS FOR POLARIZATION CONTROL AND

POLARIZATION MODE DISPERSION COMPENSATION FOR WIDEBAND

OPTICAL SIGNALS

RECEIVED

Commissioner for Patents Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Technology Center 2600

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed before the receipt of a first Office action on the merits.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

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*See attached document certifying that Marc M. Wefers has limited recognition to practice before the U.S. Patent and Trademark Office under 37 C.F.R. §10.9(b).

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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Expires: January 7, 2003

Harry I. Moatz

Director of Enrollment and Discipline